


DB/Win: Transfer from DB/DOS

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Agenda

- Transferring a Single Plan
- Transferring a Plan with Existing DC/Win plan
- Transferring a Cash Balance Plan
- Transferring 2008 and 2009 valuations


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Transferring a Single Plan

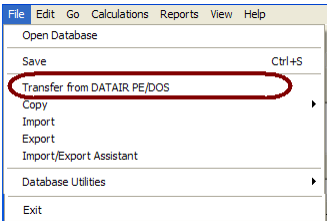
- Transfer process
 - Be closed out of plan in PE/DOS
 - Do not need to calculate PE/DOS plan

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Transferring a Single Plan

- File|Transfer from PE/DOS




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Transferring a Single Plan

- Start with the Setup screen
- Needs a Master Home Location – where does your PE/DOS program live?
- Once the Master Home Location is set, never need to set it again
- Locate Home Directory from button
- Problems finding Master Home Location?
 - Walk through documentation at <http://staff.datair.com/geraldw/setting%20up%20the%20master%20home%20location.pdf>
 - Call Tech Support

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Transferring a Single Plan

Transfer DATAIR Plan Data

Setup | Options | Select Plan | Finish | Form Copy | Plan Copy


Master Home Location of DOS Systems
c:\datair\ Locate Home Directory

Program Version: 1.06.4421
Database: SQL DB 'DATAIR_DATAIR' ON SERVER 'KIMR2\DATAIR'
Database Version: 22.1

No DATAIR DDS Systems. Skip Setup.

Enter the Master Home Location of your DDS DATAIR systems. < Back Next > Cancel


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Transferring a Single Plan

- “Next” to the Options tab
- Select Convert Names and Address if you want proper case.
 - This feature changes plans that were originally all upper case.
 - Watch out for the Plan Name and those O’Names

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Transferring a Single Plan

Transfer DATAIR Plan Data
✖

Setup | Options | **Select Plan** | Finish | Form Copy | Plan Copy

Transfer Options


- 1 - Transfer a Single Plan
 - Find destination plan from EIN and 3 digit plan number of PE/DOS plan
 - To Currently Selected Plan

DBXFER08

Convert names and addresses to Proper Case

Select options for this transfer.
< Back
Next >
Cancel


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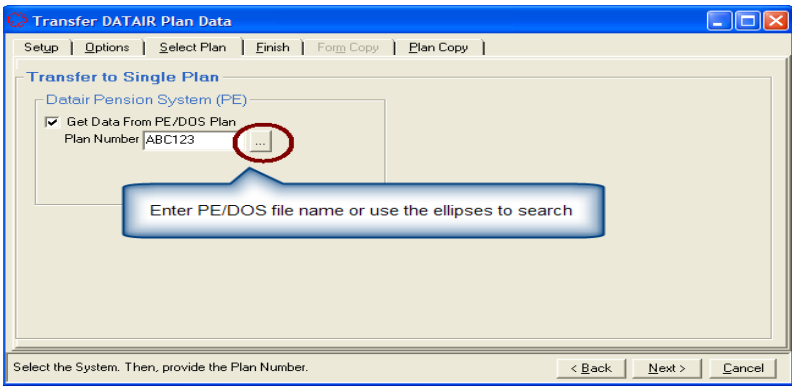
Transferring a Single Plan

- “Next” to the Select Plan tab
- Enter the PE/DOS file name for the file to be transferred, or
- Search and select from the ellipses (...)


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Transferring a Single Plan




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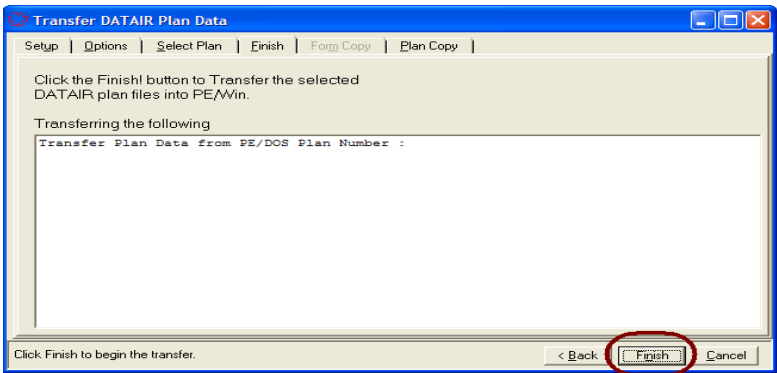
Transferring a Single Plan

- “Next” to Finish
- Plan to be transferred will be listed in the window.
- Click on “Finish”
- Finish actually begins the transfer process


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Transferring a Single Plan



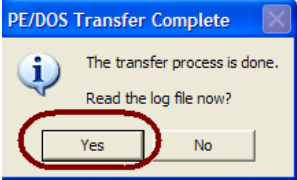
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Transferring a Single Plan

- The transfer process is done. Read the log file now?

YES **YES** **YES**



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Reading the Log File

- The log file will provide valuable information about the transfer process
- Need to print or “save” the log file
- Log file is deleted once closed

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Existing DC/Win Plan

- DB/DOS plan screen 10
- Unique Plan Number needed
- Company Name (c-10) will be part of the plan name
- Employee Numbers – must be unique to an employee and can not be used by more than one employee. Use Edit grid to clear
- Copy Out DC/Win Plan for safe keeping?
 - File, Copy, Copy Out

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Existing DC/Win Plan

- Transfer DB plan
- Answer “Okay” to Company Exists
- **STOP** if any questions about Plan already existing or deleting plan year

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Existing DC/Win Plan

- Employees will belong to the Sponsor/Company and will show up in both plans
- After transfer may need to adjust the Company Name, Company Ident or the Plan Ident
 - Often the plan ident is ABC2008, with the year representing the valuation year
 - The year not needed in the ident – all years accessible in database

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Existing DC/Win Plan

- One Company/Sponsor with all employees
- Two Plans
- Verify by going to Select Plan, Search by Sponsor Name
- Highlight Sponsor (Company) and view plans in the Select Plan for this Sponsor

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Existing DC/Win Plan

Select Plan

Search for:

Sample Plan Sponsor - SAMPLE

Search by:

Sponsor Name

Plan Name

Plan Identifier

Limit to:

PE/Win Plans

All Plans

Select Plan for this Sponsor:

SAMPLE4010: Sample Cross Tested Plan

SAMPLE401K: Sample Cross Tested Plan

SAMPLEDB: Sample DB Plan

Select Plan Year:

01/01/2008 - 12/31/2008

01/01/2007 - 12/31/2007


Sample Plan Sponsor

Company Ident = SAMPLE

Show Default Plans Only

Show Plan Group Master Plans Only

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Multiple Plans for the Same Sponsor

- Employee Information is shared across all plans in PE/Win
 - Enter name changes, dates of termination, etc only one time for all plans
 - Deleting an employee deletes the employee for *all* plans
- Payroll Rules!
 - Any information entered on the Employees|Compensation|Payroll will apply to *all* plans
 - Any changes to payroll on this screen will affect *all* plans
 - Information on this screen will override compensation information entered the employee detail or edit grid screens

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Starting Over

- Want to remove the plan and sponsor from the system and retransfer?
- Delete the Sponsor: Deletes *all* plans and *all* Employees
- Delete the Plan does *not* delete the employees


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Cash Balance Plans

- Transfer the Defined Benefit Plan
- Do *not* transfer the Defined Contribution Plan
- Plan Type will be Cash Balance
- Plan will have two Sources
 - Defined Benefit for the formula
 - Cash Balance for the hypothetical balance

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Cash Balance Plans

1 Plan / Sponsor | 2 Provisions | 3 Assumptions | 4 Misc | 5 DB

Plan / Trust

Plan Name: Cash Balance Pension Plan | Plan Ident: CBAL09 | 3 Digit Plan Number: 001

Plan Type: **Cash Balance** (circled in red)


Trust Name: | Plan Tax ID Number: | Plan Is Covered by PBGC:

Sort Name: Cash Balance Pension Plan | Plan Status: Active

Plan Notes: | Preparer: | Plan Number in Datair's DOS System for Data Transfer: cba09

Plan / Trust | Dates | Sponsor | Contacts | Advisors

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Cash Balance Plans

1 Type/Name | 2 Eligibility | 3 Vesting | 4 Allocation

Source Name	Ident	Description	Effective Date	Period Begin	Period End	Print Orde
Defined Benefit	DB	Defined Benefit		01/01/2009	12/31/2009	3
Cash Balance	CB	Cash Balance		01/01/2009	12/31/2009	3

Detail | **Browse** (circled in red)

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- More to come in the Cash Balance webinar



Transferring 2008 & 2009 Files

- Transfer 2008 file
- Calculate the plan
- Review the results to the PE/DOS reports and the Schedule SB
- Transfer the 2009 file

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Transferring 2009 file

- Once 2008 has been transferred and verified use the same transfer steps to transfer 2009
- When prompted during the transfer, Answer “Okay” to the company and plan already existing
- Answer “No” to deleting the plan years
- Read the log file – note the information about the existing company, plan and employees

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Transferring 2009 file

- Run Calculations
- Perform “Update Prior Values and Comp..” from the Calculations menu
- Calculate the 2009 plan again
- Compare the 2009 results to the PE/DOS reports and Schedule SB

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Tips on Transferring 2008 and 2009

- Use Plans|DB|Min/Max screen to enter Market Value of Assets
 - DB/Win will use the contributions entered on the Plans|DB|Contrib screen to adjust for any receivables

1 Plan / Sponsor	2 Provisions	3 Assumptions	4 Misc	5 DB
Minimum Required / 404(o) Max Contributions				
Funding Target	415,046	Applicable Pct	Market Value of Assets	0
Reduced Fund Targ	390,143	94	Average Value (400gl)	0
Actuarial Value of Assets	80,704		Cur Yr Cont Before Val Date	0
COB plus PFB reduces assets	65,829	Unreduced	Cur Yr Cont After Val Date	0
Funding Shortfall	375,068	399,971	Prior Yr Cont After Val Date	80,704
			Market Value of Assets (adj)	80,704
412(c) Waiver for this Year	0	At Risk Fund Targ	Average Value of Assets (adj)	80,704
Target Normal Cost	80,038	463,078	<input type="checkbox"/> Use Average Value of Assets	
Funding Surplus	0	At Risk TNC		
Shortfall Amort Charge	64,224	87,713	Level Funding Contribution	882,417
Waiver Amort Charge	0			
Min Required Cont	144,262			
Funding Target Increase	0			
Undeducted Contribution	4			
Maximum Cont	621,903			

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Tips on Transferring 2008 and 2009

- Use the Plans|DB|FTAP screen to view the FTAP percentages

1 Plan / Sponsor | 2 Provisions | 3 Assumptions | 4 Misc | **5 DB**

FTAP

Determination of Benefit Restrictions
If FTAP is less than 80%, restrictions apply. If less than 60%, additional restrictions apply. If FTAP Exempt is less than 100% (Applicable Pct), then assets are reduced by COB and PFB for the FTAP. IRC 438(j)

AFTAP	3.63	NHCE Annuity Purchases:	Prior Year	0	2 Yrs Ago	0
FTAP Exempt	19.44	2009	2008	94.24	Applicable Pct	94

Determination of At-Risk Status
If FTAP is less than 80% (Transition Pct) and FTAP At-Risk is less than 70%, then the plan is At-Risk next year. IRC 430(i)

FTAP	3.63	Prior Year	82.00	Transition Pct	70
FTAP At-Risk	3.21	Prior Year	70.13		

Determination of Ability to Use Balances
If FTAP PFB (assets reduced by prefunding balance) is 80% or more, then, next year, COB and PFB may be used for MRC. IRC 430(i)(3)

FTAP PFB	13.02	Prior Year	94.24
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